

08/01/01



08-02-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

U.S. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

00909



Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Morito MORISHIMATitle CIRCUIT FOR MEASURING PARAMETER OF TIME-BASE
- ERROR OF PULSE TRAIN, AND OPTICAL DISK
RECORDING APPARATUSAtty. Dkt.: P 027 7010 H7522US
M# Client Ref

including:

Date: August 1, 2001

1. Specification: 50 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 26 Numbered claims
4. ☒ Drawings: 9 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not
complete item 6 or 7). (Prior M# _____ SN _____)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application
by this reference:

No. / filed _____
No. / filed _____
No. / filed _____
No. PCT/US / filed _____, Which

designated the US and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

- 7.
- ☐
- See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US
-
- application(s)

- 8.
- ☒
- Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

- 9.
- ☒
- Assignee (optional): Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

- 10.
- FOREIGN**
- priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-237926	August 7, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

- 12.
- 1
- (No.) Certified copy (copies):
- ☒
- attached;
- ☐
- previously filed (date) _____
-
- in U.S. Application No.
- /
- filed on _____

13. Small entity status
- ☒
- is
- not**
- claimed;
- ☐
- is claimed (
- Pre-filing confirmation required**
-)

- 13(a).
- ☐
- Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s)
- not essential
- to make claim)

- 13(b).
- ☐
- See
- NONPUBLICATION REQUEST**
- under Rule 213(a) attached (Pat-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	26	minus 20 =	*6	x \$18/\$9 =	+ 108	103/203
20. Independent Claims	11	minus 3 =	*8	x \$80/\$40 =	+ 640	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$1458	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$1498	

Our Deposit Account No. 03-3975

Our Order No. 051270 C# 0277010 M#



00909

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

By Atty: Eric S. Chen

Reg. No. 43,542

Sig:

Fax: (213) 629-1033
Tel: (213) 488-7151

Atty/Sec: ESC/jes

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
027 7010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Morito MORISHIMA.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: August 1, 2001

For: CIRCUIT MEASURING PARAMETER OF TIME-BASE
ERROR OF PULSE TRAIN, AND OPTICAL DICK
RECORDING APPARATUS

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 724 027 545 us

Date of Deposit: August 1, 2001

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

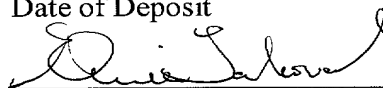
- ☒ Letter of transmittal
- ☒ Patent application (50 pages of specification; 26 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$1458 to cover the filing fee
- ☒ An Assignment of the Invention with Cover Sheet and \$40.00 Recordation Fee
- ☒ A Declaration/Power of Attorney
- ☒ 9 sheets of formal drawings
- ☒ Return postcard
- ☒ Certified copy of Japanese patent application from which priority is claimed under 35 U.S.C. 119/365.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

August 1, 2001

Date of Deposit



Ernie Sandoval

027 7010 2 545 545 545